

International Accreditation Forum
Technical Committee Discussion Paper

Name of party submitting issue for discussion (optional): ISO/TC 176/TG 02 (ISO 9000 Brand Integrity)

Statement of the issue:

We would like to seek clarification about the accreditation criteria for the following kinds of sector-specific applications of ISO 9001 (and potentially other standards) that are not subject to specific sector schemes.

特定のセクタースキームの適用を受けない、以下のような ISO 9001 (及び、可能性としては他の規格もあり得る) のセクター固有の適用に関する認定基準の明確化

We are now faced with several situations for which there is a need for clarity and harmonization of accreditation / certification criteria to be applied. We expect such cases to increase in the future, so we need to establish the principles as soon as possible. We therefore seek clarification of the principles to be applied in the following three situations:

- 1) For sector-specific standards that provide guidance on the **application** of ISO 9001 (for example, ISO 18091:2019 - *Quality Management Systems - Guidelines for the Application of ISO 9001 in Local Government*).
 - a. Is accreditation covered by the CB's "normal" accreditation for QMS, considering the relevant scope to cover local government? We understand that certification can only be to ISO 9001 (since ISO 18091 contains no requirements).
 - b. Is it acceptable for the certificate to mention the guidance standard (in this case ISO 18091) in addition to ISO 9001?
- 2) For sector-specific standards that provide requirements **in addition** to those in ISO 9001 (for example ISO/TS 29001, "*Petroleum, petrochemical and natural gas industries - Sector-specific quality management systems - Requirements for product and service supply organizations*" and ISO 54001 "*Quality management systems - Particular requirements for the application of ISO 9001:2015 for electoral organizations at all levels of government*")
 - a. Is accreditation covered by the CB's "normal" accreditation for QMS, considering the relevant scope(s)?
 - b. If the accreditation scope does not specifically mention these standards, is it acceptable for CBs to issue unaccredited certificates?
- 3) We are seeing a tendency for the development and publication of "XXX Management System" standards that are not ISO 9001-based, but are "aligned with ISO 9001" (for example, ISO 21001:2018 "*Educational organizations - Management systems for educational organizations - Requirements with guidance for use*")
 - a. Is a separate accreditation required for certification to such standards?
 - b. If the accreditation scope does not specifically mention these standards, is it acceptable for CBs to issue unaccredited certificates?



適用する認定及び認証基準の一貫性と明確化が必要と認められる状況が数件あり、またこれからも増えていくことが予想されるため、できるだけ早く適用の原則について方針を定めたい。まずは以下の3件について：

- 1) ISO 9001 の **適用**に関する指針を提供するセクター固有の規格について（例えば、ISO 18091:2019 – 品質マネジメントシステム – 地方政府における ISO 9001 適用の指針）
 - a. 地方政府をカバーする関連範囲を考慮すると、CB が持つ「通常の」QMS 認定で、これらの規格も認定範囲としてカバーされるか？ 認証は ISO 9001 に対してのみ可能であると理解している（ISO 18091 には何の要求事項も含まれないため）
 - b. 認証書に、ISO 9001 に加えてガイダンス規格（本件においては ISO 18091）について記載することは可能か？
- 2) ISO 9001 における要求事項に **追加した** 要求事項を定めるセクター固有の規格について（例えば、ISO/TS 29001 「石油、石油化学、天然ガス産業 – 分野特有の品質マネジメントシステム – 製品及びサービス供給組織にかかる要求事項」及び ISO 54001 「品質マネジメントシステム – 全ての政府レベルの選挙管理組織における ISO 9001 適用に関する特定要求事項」）
 - a. 関連範囲を考慮すると、CB の「通常の」QMS 認定で、これらの規格も認定範囲としてカバーされるか？
 - b. これらの規格が認定範囲に記載されない場合、CB は非認定の認証書を発行してもよいか？
- 3) ISO 9001 に基づくものではないが、「ISO 9001 に則した」規格「XXX マネジメントシステム」が開発、発行される傾向がある（例えば、ISO 21001:2018 「教育機関 – 教育機関のためのマネジメントシステム – 要求事項及び利用の手引」）
 - a. これらの規格の認証には別の認定が必要か？
 - b. これらの規格が認定範囲に記載されない場合、CB は非認定の認証書を発行してもよいか？

Requested action by the IAF TC:

Clarify the criteria (principles) to be applied for accreditation (and the possibilities to issue unaccredited certificates) in each of the three situations mentioned above.

上記3つの状況における認定について、適用される基準（及び非認定の認証書を発行する可能性）の明確化

Consensus of the IAF TC (also to be documented in the meeting summary):

MSC WG Comments in Red –

- 1) For sector-specific standards that provide guidance on the **application** of ISO 9001 (for example, ISO 18091:2019 - *Quality Management Systems - Guidelines for the Application of ISO 9001 in Local Government*).
 - a. Is accreditation covered by the CB's "normal" accreditation for QMS, considering the relevant scope to cover local government? We understand that certification can only be to ISO 9001 (since ISO 18091 contains no requirements). **No, also see the definition of conformity assessment in ISO/IEC 17000**



- b. Is it acceptable for the certificate to mention the guidance standard (in this case ISO 18091) in addition to ISO 9001? **No it is not**
- 2) For sector-specific standards that provide requirements ***in addition*** to those in ISO 9001 (for example ISO/TS 29001, “*Petroleum, petrochemical and natural gas industries - Sector-specific quality management systems - Requirements for product and service supply organizations*” and ISO 54001 “*Quality management systems - Particular requirements for the application of ISO 9001:2015 for electoral organizations at all levels of government*”
- a. Is accreditation covered by the CB’s “normal” accreditation for QMS, considering the relevant scope(s)? **No, because the sector-specific standards are different certification schemes, assuming “QMS” is accreditation for certification to ISO 9001. An AB may choose to offer accreditation for the ISO 54001 standard; however, it would be managed by the AB and “in addition” to the accreditation for ISO 9001.**
- b. If the accreditation scope does not specifically mention these standards, is it acceptable for CBs to issue unaccredited certificates? **Yes, if the CB is not accredited for the sector specific standard then they can issue unaccredited certifications.**
- 3) We are seeing a tendency for the development and publication of “XXX Management System” standards that are not ISO 9001-based, but are “aligned with ISO 9001” (for example, ISO 21001:2018 “*Educational organizations - Management systems for educational organizations - Requirements with guidance for use*”)
- a. Is a separate accreditation required for certification to such standards? **Yes**
- b. If the accreditation scope does not specifically mention these standards, is it acceptable for CBs to issue unaccredited certificates? **Yes, if the CB is not accredited for the sector specific standard then they can issue unaccredited certifications.**
- 1) a. **されない。ISO/IEC 17000 の適合性評価の定義も参照のこと。**
b. **認められない。**
- 2) a. **されない。セクター固有の規格は別の認証スキームであり、QMS は ISO 9001 への認証に対する認定である。AB は ISO 54001 に対する認定を行ってもよいが、ISO 9001 に対する認定に追加するものとして管理されなければならない。**
b. **発行してもよい。セクター固有の規格に対して認定されていない場合、非認定の認証書を発行できる。**
- 3) a. **必要である。**
b. **発行してもよい。セクター固有の規格に対して認定されていない場合、非認定の認証書を発行できる。**